

# Moduli theory of v. bundles and Gieseker stability.

Recall:

$X$  smth proj. var. /  $k$

The Functor

$$\text{Sch}_k^{\text{op}} \rightarrow \text{Sets}$$

$$S \mapsto \text{Pic}(X \times S) / \beta_S^* \text{Pic}(S) \quad \text{where } \beta_S: X \times S \rightarrow S.$$

is represented by the **Picard scheme**  $\text{Pic}_X$ , i.e.,

$$\text{for any } S \in \text{Sch}_k, \quad \text{Pic}(X \times S) / \beta_S^* \text{Pic}(S) = \text{Hom}_k(S, \text{Pic}_X) =: \text{Pic}_X(S)$$

In particular, we have  $\text{Pic}_X(k) = \text{Pic}(X)$   
 $\uparrow$  closed pts of  $\text{Pic}_X$

However,  $\text{Pic}_X$  can be huge, but it decomposes into small pieces:

$$\text{Pic}_X = \sqcup \text{Pic}_X^P, \quad \text{where } \text{Pic}_X^P(k) \text{ parametrizes line bundles on } X \\ \uparrow \text{projective} \quad \text{w/ a fixed Hilb. polynomial } P.$$

Recall by HRR thm, we compute

$$\begin{aligned} P(\mathcal{L}, m) &:= \chi(X, \mathcal{L}(m)) \\ &= \int \text{ch}(\mathcal{L}) \cdot \text{ch}(\mathcal{O}(m)) \cdot \text{td}(X), \end{aligned}$$

which only depends on  $c_1(\mathcal{L})$  and  $(X, \mathcal{O}(1))$ .

Question. Can we construct moduli theory for v. bundles of higher ranks?

Two problems even on  $\mathbb{P}^1$ .

(finite type)

Consider  $E_n = \mathcal{O}(n) \oplus \mathcal{O}(-n)$  on  $\mathbb{P}^1$  ( $n > 0$ ). Clearly,  $h^0(E_n) = n+1$ , so each  $E_n$  is not isom. to each other. On the other hand,  $c_i(E_n) = 0 \quad \forall i > 0$ , so they all share the same Hilb polynomial (and the same Mumfai vectors).

Now, suppose there is a moduli space  $\mathcal{M}$  parametrizing all  $E_n$ .

Then, since  $h^0(E) \geq n$  is a closed condition,  $\mathcal{M}$  has a strictly descending

chain  $\dots \ni \mathcal{Z}_n \ni \dots \ni \mathcal{Z}_0 = \mathcal{M}$ , so  $\mathcal{M}$  is not of finite type.

$\downarrow$   
 $E_n$

(separatedness)

On  $\mathbb{P}^1$ ,  $\text{Ext}_{\mathbb{P}^1}^1(\mathcal{O}(1), \mathcal{O}(-1)) = H^1(\mathbb{P}^1, \mathcal{O}(-2)) = k$ ,

so  $k \ni \lambda \xrightarrow{i:1} 0 \rightarrow \mathcal{O}(-1) \rightarrow E_\lambda \rightarrow \mathcal{O}(1) \rightarrow 0$ . (Note  $E_\lambda \cong \mathcal{O} \oplus \mathcal{O}$  if  $\lambda \neq 0$ ).

We can then construct a v. bundle  $E$  on  $A^1 \times \mathbb{P}^1$  so that  $E|_{A^1 \times \mathbb{P}^1} \cong E_\lambda$

Indeed, for v. bundles  $\mathcal{F}, \mathcal{G}$  on  $X$ , we can construct a v. bundle  $E$  on  $\text{Ext}^1(\mathcal{F}, \mathcal{G}) \times X$

so that  $\text{Ext}^1(\mathcal{F}, \mathcal{G}) \ni \lambda \xrightarrow{i:1} 0 \rightarrow \mathcal{F} \rightarrow E|_{X \times \text{pt}} \rightarrow \mathcal{G} \rightarrow 0$ . "universal family of extensions".

Rank 3.5.

Suppose there exists a moduli space  $\mathcal{M}$  of higher rank v. bundles on  $\mathbb{P}^1$ ,

i.e.,  $\text{Hom}(X, \mathcal{M}) = \{\text{v. bundles on } X \times \mathbb{P}^1\} / \sim$ . Then,  $E$  above induces a morphism

$A^1 \rightarrow \mathcal{M}$  such that  $A^1 \setminus \{0\}$  gets sent to  $[\mathcal{O} \oplus \mathcal{O}] \in \mathcal{M}_k$  and  $\{0\}$  gets sent to  $[\mathcal{O}(1) \otimes \mathcal{O}(-1)]$

$\hookrightarrow \mathcal{M}$  is not separated.

(consider base change to each spec  $k$  in  $A^1$ ).

Thus, we should add some extra conditions. Instead of slope stability, we'll use (Gieseker) stability in  $\dim > 1$ , which has the following advantages.

(i) We have more pts in the "moduli space".

(ii) translation to GIT-stability is more direct.

(iii) Stability for torsion sheaves makes sense.

H

Recall.  $(X, \mathcal{O}(1))$  polarized proj. scheme.

The Hilb. polynomial for a coh. sheaf  $\mathcal{F}$  is

$$P(\mathcal{F}, m) := \chi(\mathcal{F}(m)) = \sum_{i=0}^d \alpha_i(\mathcal{F}) \frac{m^i}{i!} \quad \text{w/ } \alpha_i(\mathcal{F}) \in \mathbb{Z} \text{ and } d = \dim(\text{supp } \mathcal{F}) =: \dim \mathcal{F}.$$

Note if  $\dim \mathcal{F} = \dim X = d$ , then  $\text{rk } \mathcal{F} = \frac{\alpha_d(\mathcal{F})}{\alpha_d(\mathcal{O})}$ .

A coh. sheaf  $E$  of  $\dim d$  is called **pure** if for any subsheaf  $F \subseteq E$ ,  $\dim F = d$ .

A sheaf of max. dim. is pure  $\Leftrightarrow$  tors.-free.

Def. The **reduced Hilb. poly.** of a sheaf  $E$  of  $\dim \geq d$  is  $p(E, m) := \frac{P(E, m)}{\alpha_d(E)}$ .

A coh. sheaf  $E$  is **stable**  $\Leftrightarrow E$  is pure and

$$p(F, m) < p(E, m) \quad m \gg 0 \quad 0 \neq F \subsetneq E.$$

$$(\Leftrightarrow p(F, m) < p(E, m) \quad \text{lexicographic order on coeff's})$$

" **Semi-stable**  $\Leftrightarrow p(F, m) \leq p(E, m)$  "

E.g.  $X$  surface.  $\mathcal{F} \in \text{Coh}(X)$ .

$$\deg_H \mathcal{F} \quad \text{rk} \mathcal{F} \deg_H(\mathcal{T}_X)$$

On a surface,  $P(\mathcal{F}, m) = \frac{\text{rk} \mathcal{F} \cdot (H^2)}{2} m^2 + (H \cdot C(\mathcal{F}) + \text{rk} \mathcal{F} (H \cdot C(\mathcal{T}_X))) m + \alpha_0(\mathcal{F})$  by HRR.

$$\text{rk} \mathcal{F} \neq 0 \quad \hookrightarrow \quad p(\mathcal{F}, m) = \frac{m^2}{2} + \frac{m}{(H^2)} \left( \frac{\deg_H \mathcal{F}}{\text{rk} \mathcal{F}} + \deg_H \mathcal{T}_X \right) + \frac{\alpha_0(\mathcal{F})}{\text{rk} \mathcal{F} (H^2)}$$

(i)  $\dim \mathcal{F} = 0 \hookrightarrow P(\mathcal{F}, m) \equiv \text{const} \hookrightarrow p(\mathcal{F}, m) = 1$ .

Clearly,  $\mathcal{F}$  is pure and semi-stable.

$\mathcal{F}$  is stable  $\Leftrightarrow \mathcal{F} = k(x)$  for some  $x \in X_k$

(ii)  $\dim \mathcal{F} = 1 \hookrightarrow \mathcal{F}$  is stable  $\Leftrightarrow \mathcal{F}$  pure and  $\forall 0 \neq \mathcal{G} \subsetneq \mathcal{F}$ ,

$$(\text{rk} \mathcal{G} = 0) \quad \deg_H(\mathcal{F}) > \deg_H(\mathcal{G}) \quad \text{or} \quad \left\{ \begin{array}{l} \deg_H(\mathcal{F}) = \deg_H(\mathcal{G}) \\ \alpha_0(\mathcal{F}) > \alpha_0(\mathcal{G}) \end{array} \right.$$

$\mathcal{F}$  is supported on

if  $\checkmark$  an int. curve  $C$ , then  $\Leftrightarrow \mathcal{F}|_C$  is  $\mu$ -stable.

(iii)  $\dim \mathcal{F} = 2 \hookrightarrow \mathcal{F}$  is stable  $\Leftrightarrow \mathcal{F}$  is tors. free and  $\forall 0 \neq \mathcal{E} \subsetneq \mathcal{F}$ ,

$$\mu_H(\mathcal{F}) > \mu_H(\mathcal{E})$$

$$\text{or} \quad \left\{ \begin{array}{l} \mu_H(\mathcal{F}) = \mu_H(\mathcal{E}) \\ \frac{\alpha_0(\mathcal{F})}{\text{rk}(\mathcal{F})} > \frac{\alpha_0(\mathcal{E})}{\text{rk}(\mathcal{E})} \end{array} \right.$$

Cor.  $X$  surface,  $\mathcal{F}$  tors. free

$\mu$ -stable  $\Rightarrow$  stable  $\Rightarrow$  semi-stable  $\Rightarrow \mu$ -semi-stable.

Prop. (cf. 10.1.6.) A semi-stable sheaf has a JH filtration.